An Introduction to APA Style

AN INTRODUCTION TO WRITING IN APA STYLE

This document provides an introduction to writing in American Psychological Association (APA) style. All of the information in this document is contained within the sixth edition (2nd printing) of the Publication Manual of the American Psychological Association. If you need more details please refer to the APA manual available in the: Douglas College Library, Psychology Lab, and Learning Centre.

The types of papers you will be asked to submit for grading will generally fall into 2 categories: a literature review or an empirical study.

**Literature Review**

A literature review is a critical analysis of published work. The purpose of the literature review is to: define and clarify the problem; summarise previous research by identifying trends, similarities, differences, contradictions, gaps, and inconsistencies; and suggesting directions for future research. A literature review consists of, at minimum:

- title page
- abstract
- references

Organising the body of the paper into sections is at the discretion of the author: use the table below to format headings for each section according to APA style. At minimum, Level 1 headings are required, but if two levels are needed, then use Levels 1 and 2 headings; if three levels are needed, then use Levels 1, 2, and 3 headings, etc.

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<thead>
<tr>
<th>Level of heading</th>
<th>Format</th>
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<td>1</td>
<td>Centred, Boldfaced, Uppercase and Lowercase Heading</td>
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**Empirical Study**

An empirical study is a report of original research in which an hypothesis is tested; data is collected; and the results are presented and evaluated. An empirical study consists of, at minimum:

- title page
- abstract
- introduction
- method
- results
- discussion
- references

Formatting for a literature review and empirical study follows the same conventions. Because the sections within an empirical study are more prescriptive, this document focuses upon writing an empirical study.
This document is divided into three sections:

1. Writing an APA style Empirical Study

The first section provides a description of each of the major sections of an APA style empirical study.

2. Citations and References

The second section provides information on how to document your work; that is, it tells you how to cite and reference the articles that you will use when writing your paper. Read this section before gathering library materials (e.g., journal articles and books) and taking notes so you will know what type of information to record (e.g., author[s] name, date of publication, name of journal, doi). Also, carefully read the subsection on plagiarism.

3. Sample APA style Empirical Study

The third section provides an example of an APA style empirical study.
Introduction to APA Style

Formatting

General Guidelines

- Leave 2.54 cm (one inch) margins at the top, bottom, left and right sides of all the pages of the paper.
- Double space all lines of text including the title page and the reference section.
- Use boldface for the section headings (e.g., Methods, Results, Discussion) and sub-headings (e.g., Participants, Procedure)
- Use left justification only; leave the right edge of the text ragged.
- Use Times New Roman 12 pt.
- The running head is a shortened version of the title that is no more than 50 characters in length: this includes spaces, and punctuation. The running head and page number are 1.27cm or ½ inch below the top edge of the page. **Typing:** The text of the running head is typed in upper case. The running head and page number are on the same line with the running head left justified and the page number right justified. The running head and page number occurs on all pages of the document including the title page. **NOTE:** For the title page only, the words "Running head:" precedes the text of the running head (see p.1 of the Sample Paper).
- Indent paragraphs 5-7 spaces (1.27cm or ½") ; do not indent the abstract.
- When reporting a numerical value, present the numerical value as digits if the value is greater than or equal to 10. If the numerical value is less than 10, type the value as a word, unless it contains a decimal.
- Submit a single-sided copy of your paper for grading.
- Staple the paper once in the upper left-hand corner. Do not use folders or duo-tangs.
- Make a backup copy of your paper.

Comments on Writing Style

- You should strive to present your ideas clearly and logically. Be precise in your choice of words. Get to the point, this is a scientific paper and therefore wordiness is frowned upon.
- Do not use colloquialisms (informal language): this is a formal paper.
- Refrain from making over-generalisations. For example, "Since the dawn of time, man has been intrigued about . . ."
- Academic papers are generally written in the third person. Although the use of personal pronouns are acceptable (e.g., we, our, I, my), they should be used judiciously: this is, after all, a formal paper. For example, both “The hypothesis for the experiment was . . .” (third person) and “Our hypothesis for the experiment was . . .” (first person), would be acceptable whereas “In my opinion, . . .” would be unacceptable because of the shift in tone from formal to informal.
- The active voice is preferable to the passive voice. For example, it is preferable to say “Participants completed a questionnaire” (active voice) rather than “Participants were given a questionnaire” (passive voice).

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Title Page

(See p. 1 of the Sample Paper)

The title page is comprised of the following elements:

- title
- author's name(s)
- affiliation

Title

The title summarises the main topic of the paper and mentions the variables considered in the study (e.g., The Effectiveness of Excuse-Validation in Reducing Negative Affect). The title is no more than 12 words. Remove unnecessary phrases such as "A Study of . . . ".

Typing: The title is centred and typed in upper and lower case. The title appears in the top half of the page.

Author's Name

Each author's name should be included on the title page.

Typing: The author's first and last name is centred and double spaced below the title. If there is more than one author, then list the names in alphabetical order. Each author's name should be centred, doubled spaced and on a separate line below the previous author's name.

Affiliation

The affiliation is the name of the institution at which the study or experiment was conducted.

Typing: The affiliation is typed in upper and lower case. It is centred and double spaced below the author(s) name.

IMPORTANT NOTE: Your instructor may also ask you to include your student number, course name, section number, instructor's name and due date; check with your instructor. If you are required to include your student number, course and section number, and due date, then each of the entries would be centred and immediately below the preceding entry. For example, your student number would be centred and immediately below your name; the course and section number would be centred and immediately below your student number; and the due date would be centred and immediately below the course and section number.
Abstract

(See p. 2 of the Sample Paper)

The abstract is a summary of the entire paper; do not include material that is not presented in the paper. The abstract is comprised of the following:

- the purpose of the research
- the variables being investigated
- a description of the participants
- a description of the method including materials, data gathering procedures, names of tests, etc.
- a description of your findings; do NOT include numerical results
- a conclusion

The abstract is written in past tense. Report numerical values (e.g., number of participants) as digits unless the numerical value begins a sentence, in which case, report the numerical value as a word. The abstract is no more than 120 words.

Typing: The abstract appears on the second page of the paper. The word "abstract" is centred, and written in upper and lower case. Do NOT indent the first line of the abstract.

Introduction

(See pp. 3-5 of the Sample Paper)

This section introduces the topic being studied, reviews previous research, and clearly states the hypothesis for the study.

Academic journal articles are used to review previous research; this is referred to as a literature review. The literature review is NOT a passive summary of each academic journal article, but an active, critical discussion of past research. The active discussion involves integrating and synthesising the main research trends as well as noting limitations of past research. Because you are borrowing ideas from previous research, this section will be filled with citations (see pp. 9-11 for examples of APA style citations). In addition, APA style rarely uses direct quotes; paraphrasing (putting it into your own words), with proper citations, is preferred. The literature review should serve as a rationale for the present study and the hypothesis becomes a logical extension of the literature review. Past tense is used for the literature review.

Following the literature review, the variables used in the present study are defined and the rationale for the hypothesis is developed. The hypothesis is then stated and predictions are made. At the end of the introduction, the reader should have a clear idea of what was expected to happen in the study and the reasons for the predictions. It is important to emphasise that the introduction section moves from the general (i.e., the general topic, why it is important, theory, previous research findings) to the specific (i.e., the present study).

Typing: The introduction section begins on the third page of the paper. The title of the paper is centred and printed in upper and lower case instead of the word "introduction". The introduction is approximately two to three pages in length. Each new paragraph should be indented 5-7 spaces (1.27cm or ½”).
Introduction to APA Style

Method

(See pp. 5-6 of the Sample Paper)
The method section describes how the study was conducted and is usually divided into, at
minimum, two subsections: (1) participants, and (2) procedure.

Typing: The word "method" is boldfaced, centred, typed in upper and lower case, and
immediately follows the last sentence of the introduction section.

Participants
The participants subsection describes the people who volunteered for the study. This includes
information about the number of participants, their sex and average age and any other defining
characteristics of the group of people (do not list details of individual participants).

Typing: The word "participant" is boldfaced, left justified, and typed in upper and lower
case. This subsection is written in past tense. The first line of this subsection is
indented 5-7 spaces (1.27cm or ½”). When reporting the number of participants,
present the number as digits if the numerical value is greater than or equal to
10. If the number of participants is less than 10, type the numerical value as a
word.

Procedure
The procedure subsection provides a description of: the sampling procedure – how the
participants were recruited – and sample size; the equipment and/or tests that were used; the
research design; and a summary of the steps followed during data collection.

When describing standard materials (e.g., stop-watches) a detailed description is not required.
If a test was used, cite the test name and author(s) in APA style and include the source of the
test in the reference list. If the test (or data recording form) has instructions typed on the test
form, describe the instructions; do not provide verbatim instructions. If the test uses a rating
scale, include a description of the rating scale and how total scores are produced. When
describing a test that uses a rating scale, type the rating scale as digits and the anchors for the
scale should be italicised and in parentheses. For example, the participants rated their
responses from 1 (most important) to 5 (least important).

The description of the procedure should be in chronological order. Provide enough detail to
enable the reader to understand how the data was collected. This description should include:
how participants were separated into groups or conditions; where the testing took place; any
verbal instructions given to each group or condition; the order of presentation of testing
material; any experimental manipulations; how the dependent variable(s) were measured and
any variables that were held constant.

Typing: The word "procedure" is boldfaced, left justified, typed in upper
and lower case and immediately follows the last sentence of the “participants”
subsection. This subsection is written in past tense. The first line of this
subsection and subsequent paragraphs are indented 5-7 spaces (1.27cm or ½”).
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Results

(See pp. 7-8 of the Sample Paper)

The main purpose of this section is to convey the numerical data obtained. Begin with a reiteration of the hypothesis. Describe the statistic that will be used to evaluate the results. Follow this with a written description of the results for each group or condition. Once all results have been reported, clearly state whether the results support the hypothesis; do not draw any conclusions.

Report the mean ($M$), standard deviation ($SD$) for each group or condition and, when appropriate, the correlation coefficient ($r$); do NOT include the participants' individual scores. Raw data, if requested by your instructor, is included in the Appendix section (see the appendix sub-section of this document for appropriate APA style). Numerical results ($M, SD, r$) are reported as digits. Report all results in sentence format. If a figure or table (see below for a description of an APA style figure or table) is included in this section, refer to the figure or table and state its relevance in the text of this section. The values you choose to report in this section should justify any conclusions you draw in the discussion section.

**NOTE:** this section is written as a coherent paragraph(s).

Typing: The word “results” is boldfaced, centred, typed in upper and lower case and appears immediately after the last sentence of the procedure subsection. This section is written in past tense and should be approximately one-half to one page long including a table or figure. The first line of this section and subsequent paragraphs are indented 5-7 spaces (1.27cm or ½”).

Tables (see p. 8 of the Sample Paper)

Your instructor may require a table as part of the results section. Tables are usually included if the results contain several sets of numbers that would be difficult to understand in sentence format. For example, if the results consisted of several means ($M$) and standard deviations ($SD$), reporting these values as a list of digits would detract from the readability and comprehension of the overall results. A table, therefore, serves as an organised presentation of the results. Values that are to be compared should be next to each other. For example, means ($M$) should be in one column, standard deviation ($SD$) should be in an adjacent column. Provide a brief summary of the table in the text of the results section; highlighting the relevant comparisons.

Typing: Number each table with an Arabic numeral. The word "Table" and its corresponding number is left justified. The title for the table is italicised, left justified and underneath the table number. The heading for each column should be clear and concise; in addition, the heading should not be wider than the longest column entry. A horizontal line divides: the title from the headings; the headings from the numerical values; and the last row of the table from the rest of the text. Do NOT use vertical lines to separate each column.

Figures (see p. 7 of the Sample Paper)

Figures are graphs, charts, maps, drawings, photographs, or diagrams. Your instructor may require a graph (referred to as a figure) of your results. The graph should provide a visual representation of the over-all results. Provide a brief description of the graph in the text of the results section. Unless otherwise specified, the graph should be computer generated.

Typing: Label each axis indicating the quantity being measured and the units used. Each label is parallel to its axis; for example, the label for the ordinate/vertical axis should be printed vertically whereas the label for the abscissa/horizontal axis is printed horizontally. Provide the unit of measure in brackets after the label. Always include a zero point. Use a sans serif font, for example, Arial, Futura, or Helvetica for the labels and numbers of the ordinate and abscissa. The font size should be no larger than 14 pt and no smaller than 8 pt; the point size should not vary more than 4 pts. For example, if 8 pt is used for the ordinate then the font size cannot be greater than 12 pt for the abscissa. Type the axis labels in boldface. When choosing a grid scale, take into consideration
the range of both axes. The graph should be two dimensional NOT three dimensional. Unless printing from a colour printer, limit the colours for the bars to black and white: visually discriminating between different levels of shading can be difficult. If your graph includes a legend, then the legend should be centred with a box around it. Number all figures with Arabic numerals. The figure caption/title is below the figure. The word "Figure" and its corresponding number is left justified, italicised and followed by a period; one space after the period, type the title for the figure. The first letter of the title is in upper case with subsequent letters in lower case.

Discussion

(See pp. 8-10 of the Sample Paper)

In the discussion section, the results are examined, interpreted, and evaluated. Inferences may be drawn. The discussion moves from the specific (e.g., your results described in words) to the general (e.g., why the results are theoretically important and how they relate to other findings in psychology). The discussion section usually opens by clearly stating whether the hypothesis was supported by the results. The results of the study are then evaluated against previous research. Discuss the similarities and differences between your results and past research results; limit your comments to those journal articles used in your literature review (include citations). Do NOT introduce new journal articles in this section. Do NOT repeat points already made or refer back to the introduction section; each new comment should help bolster your conclusions. The broader implications of the results should be discussed. If the results do not support the hypothesis, provide a plausible explanation; this should NOT be a litany of excuses. Methodological problems should be discussed with the expectation of suggestions to improve the study. Suggestions for future research may be provided.

Typing: The word "discussion" is boldfaced, centred, typed in upper and lower case and immediately follows the results section. This section is written in present tense. The discussion is approximately 2-3 pages in length. The first line of this section and subsequent paragraphs are indented 5-7 spaces (1.27cm or ½”).

References

(See p. 11 of the Sample Paper)

The reference section is a list of cited works in an APA paper. It appears at the end of the paper. Entries are listed in alphabetical order by authors' last name, or title if there is no author. Do not list articles that were not cited in your report, but include all articles that were cited. For more information on how to complete a reference list, please refer to pp. 12-17 of this document.

Appendix

(See pp. 12-13 of the Sample Paper)

This section is optional; check with your instructor.

The appendix contains information that is essential for the reader, but would be distracting in the body of the paper. For example, a long and detailed description of a complex piece of equipment may be necessary for the replication of a study but detracts from the readability of the method section. This description would then be placed in an appendix and referred to in the body of the paper. As mentioned in the results section, your instructor may want you to include the participants' scores. The participants' scores would be included in the appendix. To draw the reader's attention to the participants' scores refer to them in the results section of the paper. Signed consent forms, if required by your instructor, should also be included as part of the appendix.

Typing: The word "appendix" is centred, typed in upper and lower case, with an identifying letter typed in upper case. Each appendix is typed on a separate page. If there is only one appendix, then an identifying letter is not necessary. The appendix follows the reference list.

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CITATIONS AND REFERENCES

Psychology papers must be referenced using APA referencing style. This style requires reference citations in the text of the paper and a reference list at the end. Reference citations identify the source of an idea, a paraphrase, or a direct quote. They include the name of the author(s) and year of publication of the original information. Reference citations are used to indicate to the reader which ideas are your own and which came from other sources. If you present ideas that are not your own, it is critical to acknowledge the source(s) of the ideas by making an appropriate reference citation. Moreover, it is critical that you paraphrase the words of other authors, that is, “say it in your own words.” If you fail to acknowledge your sources, fail to paraphrase the work of other authors, or both, you will be committing an act of academic dishonesty called plagiarism. The consequences can be severe.

Plagiarism

Plagiarism is the formal presentation or submission of research, words, ideas, illustrations or diagrams of others as one’s own without citation or credit.

Confirmed violations of the College’s academic dishonesty policy will be dealt with forthrightly and decisively by Faculty and Administration. In the case of a first offence, the penalties may include, but are not limited to, one or more of the following:

- rewriting of the assignment;
- completion of another assignment;
- a zero percent grade assigned to the relevant evaluation component;
- a failing grade on the course as a whole;
- suspension from the program and/or College; and/or
- permanent expulsion from the College

Penalties will be noted on the student’s academic record for a time period specified by the Dean/Designate.

The student will be informed by the President that the consequences of a second offence will be permanent expulsion from the College.

For details see: http://www.douglas.bc.ca/about/policies/edu/academdis.html

Paraphrasing

APA style writing rarely includes direct quotes; paraphrasing is more common. Paraphrasing involves writing ideas, concepts, and theories from a source in your own words. Replacing a few words, rearranging the words, and/or splicing sentences together from a source is NOT paraphrasing – this would be considered plagiarism because you are using the source’s words, but claiming them as your own. Although the ideas, concepts and/or theories are now in your own words, you still need to acknowledge the source hence the use of citations: see pages 10-11 for examples of APA citations.

Quotations

It is better to paraphrase than to quote. Any material of three or more words taken from another source is a quote. When quoting, ensure that the words, punctuation, and grammar is an exact replica of the original source. In addition to the author(s) name and year of publication, the page number for the quotation MUST be included. When quoting from a source that does not provide page numbers use paragraph numbers (type “para.” and the number) or, if the paper is divided into sections, provide the section and the paragraph number (type “para.” and the number) within that section.

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If the quotation is short (≤ 40 words) insert the quotation as part of the sentence. Remember to enclose the quote with quotation marks.

Example: Rea (1988) has stated that "the Mini University provided a unique and creative educational experience for both the instructors and the students" (p. 303).

If the quote is greater than 40 words type the quote as a separate block. The block quote is double spaced, indented 5-7 spaces, (1.27cm or ½”), and is NOT surrounded by quotation marks.

**REFERENCE CITATIONS IN TEXT**

The surname of the author and the date of publication are inserted directly into the text at the point were the author’s work is mentioned. The complete citation is included in the reference list at the end of the paper. Put parentheses around the author, the date, or both if they do not form a normal part of the sentence.

Example: In 1993, Smith compared reaction times . . .

or Smith (1993) compared reaction times . . .

or In a study of reaction times (Smith, 1993) . . .

To ensure your sentence is grammatically correct, the sentence should be complete without the information contained within the parentheses.

1. **One Author**

Insert the author’s last name and the date of publication into the text.

Example: More than 80% of the parents that evaluated the program considered it a success (Rea, 1988).

2. **Two Authors**

When there are two authors always cite both last names.

Example: In an earlier study (Neisser & Harsch, 1992) . . .

The symbol "&" is used when in parentheses. Use the word "and" when the authors’ names are part of the sentence.

Example: An earlier study by Neisser and Harsch (1992) . . .

3. **More than Two Authors**

When there are three to five authors, cite all the last names in the first citation and in the following citations use only the first name and "et al." (which is Latin for "and others").

**NOTE:** that "et" is not followed by a period, but "al" is.

First citation: Sokolowski, Smith, Jones, and Hajid (1983) discovered . . .

Subsequently: Sokolowski et al. (1983) also found that . . .

or The results were supported by a later discovery (Sokolowski et al., 1983).
When there are six or more authors, cite only the first author’s last name followed by “et al.” for the first and subsequent citations. **Exception:** If two or more references have the same first author and year of publication, then include as many subsequent author’s last names as necessary to clearly identify the source, followed by a comma, “et al.” and the year of publication.


or The results were supported by a later discovery (Bodenhausen, Kramer, et al., 1994; Bodenhausen, Sheppard, et al., 1994).

4. **Groups as Authors**

The full name of a group that serve as an author such as corporations, associations, and government agencies is written out unless the abbreviation is well known.

Example: A recent study by The Douglas College Department of Institutional Research (1994) . . .

but A police report (Royal Canadian Mounted Police, 1979 [RCMP]) . . .

then The RCMP report (1979) . . .

5. **Citing a Secondary Source**

Authors refer to other researchers’ work in their writing. If you would like to include this information in your paper the best thing to do is look up these sources yourself. In lieu of that, you must cite this material as a secondary source. Give the name of the original author(s) within the text of your paper along with the author(s) and date of the paper you actually read.

For example, if you read about Treisman and Davies’ study in a paper by Hirst and Kalmar (1987), the citation in the text of your paper would be:

In Treisman and Davies’ study (as cited in Hirst & Kalmar, 1987) . . .

**NOTE:** For how to reference a secondary source, see “reference for a secondary source” on p. 13, number 4.
REFERENCE LIST

The purpose of a reference list is to enable the reader to retrieve the sources for the document. As a general rule, references are alphabetised according to the first author’s last name, with additional rules for the following circumstances:

- **2 or more references with the same first author**: alphabetise based on the subsequent authors’ last name.
- **2 or more references from the same author(s), but a different publication year**: list the sources in chronological order.
- **2 or more references with identical author(s) and publication year**: insert a lowercase letter – a, b, c, etc., – at the end of the publication year, but before the parentheses to uniquely identify each source. **NOTE**: the lettered publication year will be used when citing the article in the paper.
- **8 or more authors**: list the first six authors’ names, followed by three ellipses (...), then insert the last author’s name (see “without accession number” on page 14, number 7b).

Referencing for electronic and print form sources are identical with the addition of a retrieval location for an electronic source. Many scholarly full-text sources have begun to use a Digital Object Identifier (DOI) which provides a permanent link to a research article. The DOI begins with a “10” and is an alphanumeric value that can be found where the “Abstract” is visible when using a database such as “PsycINFO/PsycARTICLES” and/or on the first page of a research article. Copy and paste the DOI into your reference list to minimise transcription errors. If the DOI is not visible, it may be hidden under buttons labelled “Article”, “CrossRef”, “PubMed” or another full-text vendor source. Because a DOI is a permanent link to the journal article, a retrieval date, name of the database, and accession number is no longer necessary. If a DOI is unavailable, then use the URL. When using a URL, make sure the link is to the home page for the journal, magazine or newsletter. **NOTE**: If the retrieved source was in print form, but a DOI is available, then include the DOI as part of the reference.

**Typing:** The word "reference" is centred, typed in upper and lower case, and appears on a separate page. Each new entry is flush left, with the subsequent line of the entry indented 5-7 spaces (1.27cm or ½”), referred to as a hanging indent. The titles of books, journals and volume numbers are italicised.

Below and on the subsequent pages are examples of how to reference various sources.

Periodicals

1. **Online Journal with a DOI**


**Authors:** Krebs, D. L., & Denton, K. (only provide each author’s surname and initials; do not include first names)

**Most recent date of publication:** 2006

**Title of article:** Explanatory limitations of cognitive-developmental approaches to morality.

**Name of journal:** Psychological Review (italicised)

**Volume number of journal:** 113 (italicised)

**Issue number:** 3 (in brackets, but not italicised)

**Page numbers:** 672-675

**Note:** the italicised text shown above is not included in the reference section. It is provided here to help you understand the components of a journal entry.

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2. **Online Journal without a DOI**


3. **Journal in print form**


4. **Reference for a secondary source**

The example used in “citing a secondary source” referred to Treisman and Davies' study that was cited in Hirst and Kalmar's (1987) paper. In the reference section, only the paper by Hirst and Kalmar (1987) would be listed. For example:


**Abstract**

Abstracts may be acceptable by some instructors as sources for information although, as a general rule, it is preferable to use the full-text article. When referencing an abstract from a database, include the accession number if available.

6. **Online Abstract as original source**


7. **Online Abstract from a secondary source (e.g. database)**

a) with accession number

b) without accession number


8. Abstract in print form


Books

9. Online Book

As with online journals, if a DOI is available, use the DOI instead of a URL.


Author: Perfect, T. J., & Schwartz, B. L. (only provide the author’s surname and initials; do not include first names)
Most recent date of publication: 2002
Title: Applied metacognition (italicised)

Note: the italicised text shown above is not included in the reference section. It is provided here to help you understand the components of a book entry. The examples given below follow a similar convention.

10. Book in print form


11. Chapter from an Online Book

12. Chapter from a book in print form

13. Book with more than one author

14. Book with government agency or corporate author

Dictionary or Encyclopaedia

15. Online Dictionary

16. Online Encyclopaedia

17. Dictionary or Encyclopaedia in print form

Magazine

18. Online magazine article

NOTE: If the on-line article can be located using “search” on the publication’s homepage, then provide the URL to the homepage only, otherwise include the entire URL.
19. Magazine article in print form

Newspaper
20. Online newspaper article

NOTE: If the on-line article is available using “search”, then provide the URL to the homepage otherwise include the entire URL.

21. Newspaper article in print form

Audiovisual Media
22. Video

The generic format:
Producer, A. A. (Producer). & Director, B. B. (Director). (Year). Title of the motion picture [Motion Picture, or medium of recording e.g., DVD, VHS]. Country of Origin: Studio.

23. Podcast

24. Episode from a television series

Follow the format for a chapter in a book, except insert the writer(s) and director(s) name in place of the author(s) of the chapter; and insert the name of the producer(s) in place of the editor.
Curriculum and Course Material

25. Presentation Slides


26. Lecture notes

References

The Effectiveness of Excuse-Validation in Reducing Negative Affect

Kathy Denton

Douglas College
Abstract

Excuse-making is a common strategy people invoke to feel better following a negative event. When excuses are advanced in public, their effectiveness may depend on whether they are validated by others. The present study was conducted to assess the emotional impact on participants of having their excuses validated by a supportive stranger in a conversation about a real life negative event, as compared to receiving no support from an attentive audience.

Participants were 31 male and 39 female undergraduate students, who participated for course credit. Participants' affective state was assessed prior to and after talking to a supportive stranger who either validated or did not validate their excuses. It was hypothesized that participants in the excuse-validation condition would report lower levels of negative affect at the post-conversation assessment than participants whose excuses were not validated. The results indicated that excuse-validation is an effective form of social support and is necessary for publicly made excuses to alleviate negative affect.
The Effectiveness of Excuse-Validation in Reducing Negative Affect

Social psychology is replete with evidence that people who receive information that threatens their sense of self, such as a negative evaluation, will distort the information in self-serving ways (see Miller & Porter, 1988; Snyder & Higgins, 1988; Taylor & Brown, 1988, for reviews). Snyder, Higgins, and Stucky (1983) have demonstrated that making excuses (e.g., trivializing negative feedback, making an external attribution for the cause of an unfavourable outcome) is a common way in which people attempt to construct a less threatening reality following upsetting experiences.

Investigations of excuses and related processes tend to focus on excuses people make in private, laboratory contexts after receiving some form of negative feedback (see Snyder & Higgins, 1988, for a review). The results of this research suggest that processes that help people evade responsibility for their negative outcomes, such as excuses, are beneficial. Excuses preserve people's self-concepts and alleviate negative affect associated with unfavourable outcomes (Snyder & Higgins, 1988; Taylor & Brown, 1988).

It is unclear whether excuses provide relief from negative events when they are advanced outside of the lab, in public contexts. Few researchers have assessed the effectiveness of publicly-made excuses. Three studies (Denton & Zarbatany, 1996; Mehlman & Snyder, 1985; Schönbach, 1990), however, suggest the effectiveness of publicly-made excuses may depend on the audience who receives the excuse and context in which the excuse is invoked.

A study by Schönbach (1990) revealed that audiences in competitive or antagonistic contexts (e.g., people on an opposing side of a dispute) have a vested interest in challenging people's excuses, which constrains the excuse-maker's ability to evade responsibility and to alleviate negative affect. Similarly, a study by Mehlman and Snyder (1985) demonstrated that
Excuses examined by an "all knowing," electronic audience in an experimental context were constrained by anticipated challenges to their validity, and, therefore, less effective than privately-made, unexamined excuses in relieving negative affect.

In contrast, in a study of social support strategy effectiveness, Denton and Zarbatany (1996) observed that when people discussed real life negative experiences with friends during supportive conversations, their friends not only agreed with their excuses (i.e., provided excuse-validation) but also made excuses for them. In terms of the effectiveness of excuses and excuse-validation in reducing negative affect, Denton and Zarbatany (1996) reported that the excuses people made for themselves were ineffective in reducing negative affect; but, friends' validation of these excuses helped alleviate negative affect. Indeed, the validation of excuses by friends was found to be a more effective support strategy than any other form of social support or coping assessed in this study (i.e., excuse-making, emotional support, advice, discussing a more pleasant topic). The correlational nature of this study, however, does not permit conclusions to be drawn about whether excuse-validation caused reductions in negative affect or was a consequence of negative affect reduction.

The present study was a first attempt to test the effectiveness of excuse-validation as a social support strategy in a controlled experiment. Participants discussed a real-life negative event with a supportive stranger who either validated their excuses or listened attentively without providing excuse-validation. Prior to and after this discussion, participants completed a questionnaire to assess their level of negative affect. Based on past correlational research on excuse-validation (Denton & Zarbatany, 1996) and related research on the effects of implicit (Mehlman & Snyder, 1985) and explicit (Schönbach, 1990) challenges to people's excuses, it was
expected that participants whose excuses were validated would benefit more from the supportive conversation than participants whose excuses were not validated.

**Method**

**Participants**

Participants were 31 male and 39 female undergraduate students ($M$ age = 25.8) who attended the University of Western Ontario. Participants were told that the study assessed how people talk about negative events. Volunteers received course credit for their participation.

**Procedure**

Two brief versions of the Multiple Affect Adjective Check List (MAACL; Zuckerman & Lubin, 1965) were used to assess negative affect (see Appendix). Each checklist contained 42 words that described various emotional states (e.g., happy, nervous). Participants were instructed to read each item and place an “x” beside those items that described how they felt at that moment. Total negative affect scores were created by adding together the number of negative emotional words in each of three subscales (i.e., anxiety, depression, anger) that were marked with an “x” and the number of positive emotional words (e.g., relaxed, happy) that were not marked with an “x,” then dividing by three. The highest possible score on the test was 14, which indicated a very high level of negative affect.

In addition to the MAACL, a short, written questionnaire was created for this study. This five-item questionnaire assessed participants impressions of the supportive stranger (e.g., How supportive was she? How comfortable did you feel talking to her?). Responses were made on seven-point rating scales and aggregated to produce an impression of supportive stranger score. The higher the score, the more positively participants viewed the supportive stranger.
A research assistant contacted potential participants by telephone and provided them with a brief description of the study. Meeting times were arranged for volunteers, who were tested individually in a Psychology lab.

When participants arrived at the Psychology lab, the experimenter asked them to (a) disclose an upsetting incident from their past that still bothered them to think about and (b) complete a brief version of the MAACL. Next, participants discussed the incident they disclosed to the experimenter with a "supportive stranger" (who was referred to as a research assistant) for seven minutes in front of a video camera.

During the seven-minute conversation, the supportive stranger interacted with participants according to the social support requirements of the experimental condition to which subjects’ were randomly assigned: excuse-validation or attentive listening. In the excuse-validation condition, the supportive stranger was instructed to validate every excuse participants made by nodding or by verbalizing agreement. For example, if a participant minimized the seriousness of getting an “F” on a test by saying it was only a quiz, the supportive stranger might say, “yeah, quizzes aren’t worth much.” In contrast, in the attentive listening condition, the supportive stranger would not validate participants’ excuses or invoke any other form of support, but merely provide an opportunity for participants to express their feelings and points of view by encouraging conversation and asking questions. For example, after learning about a failing grade, the supportive stranger might ask, “What did you do when you received your grade?”

After seven minutes of conversation with the supportive stranger, the experimenter entered the room and asked the supportive stranger to leave. Participants then completed the second version of the MAACL and the set of five questions about the supportive stranger. Participants were then thanked and debriefed.
Results

The effectiveness of the three forms of social support were assessed by comparing the mean pre- and post-conversation MAACL scores of participants in both experimental conditions. As expected, participants in the excuse-validation condition reported higher negative affect scores before ($M = 6.4$) than after ($M = 5.3$) talking to the supportive stranger (see Figure 1). In comparison, participants in the attentive listening conditions experienced an increase in negative affect from the pre-conversation assessment to the post-conversation assessment ($Ms = 6.0$ and 7.2, respectively).

![Chart showing mean MAACL scores](chart.png)

Figure 1. Mean pre- and post-conversation MAACL score by experimental condition.

Analyses of participants' impressions of the supportive stranger revealed that she was viewed as highly supportive in both experimental conditions. As shown in Table 1, participants in both experimental conditions viewed her very positively. The average impression of supportive stranger rating by participants in the excuse-validation condition was 5.9 (on a seven-point scale). The average rating by participants in the attentive listening condition was 6.0.
Table 1

Mean Impression of Supportive Stranger Ratings Across Experimental Conditions

<table>
<thead>
<tr>
<th>Experimental Condition</th>
<th>Mean Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Excuse-Validation</td>
<td>5.9</td>
</tr>
<tr>
<td>Attentive Listening</td>
<td>6.0</td>
</tr>
</tbody>
</table>

Discussion

The results of this study demonstrated that excuse-validation was effective in reducing negative affect. As expected, participants whose excuses were validated by the supportive stranger reported lower levels of negative affect at the post-conversation assessment than at the pre-conversation assessment. Reductions in negative affect from pre- to post-conversation were not reported by participants in the attentive listening condition, whose excuses were not validated. These results were not moderated by participants’ impressions of the supportive stranger. Participants in both experimental conditions rated the supportive stranger very positively.

Past research suggests that excuses are commonly invoked following negative events (Snyder & Higgins, 1988). The results of the present study demonstrate that the validation of excuses is an effective way to help people feel better. The reason why excuse-validation may alleviate negative affect is because validation strengthens the credibility of excuses, making them believable. According to Snyder et al. (1983), when excuses are believed, excuse-makers may
feel less responsible for the event that evoked the excuses (“It wasn’t my fault”) or the event may seem less important (“It wasn’t worth getting upset about”). In contrast, when excuses do not receive validation, as in the attentive listening condition where excuse-validation was prohibited, the excuse-maker may have difficulty evading responsibility or minimizing the event (Denton & Zarbatany, 1996; Mehlman & Snyder, 1985; Schönbach, 1990).

Although the hypothesis in this study was supported, the study has a number of limitations. First, no controls were taken to ensure that excuse-making occurred in both experimental conditions. It is possible that only participants in the excuse-validation condition made excuses or that participants in the excuse-validation condition made more excuses than participants in the attentive listening condition. Therefore, the results of the experimental condition may be confounded by excuse-making. To minimize the confounding effects of excuse-making, future researchers may consider assessing the amount of excuse-making across conditions and, if necessary, invoking statistical controls.

A second limitation of the present study concerns the type of negative event disclosed by participants. The only constraint imposed on participants was that the event disclosed continued to evoke negative affect. It is possible that factors like the type of negative event (e.g., academic failure, loss of relationship) may affect the type of social support needed. Accordingly, excuse-making and excuse-validation may be more effective for some events than others. Therefore, to rule out the confounding effects of event type, future researchers may consider putting additional constraints on the type of event disclosed or ensuring that similar types of events are disclosed by subjects across experimental conditions.

In conclusion, the findings of this study are consistent with the claim of researchers such as Snyder and Higgins (1988) that the ability to make and benefit from excuses may depend on
the receptiveness of one's audience. When audience members behave as though they believe the excuses people make for themselves, the audience communicates that the excuses are valid. This may engender greater confidence in excuse-makers about their perception of a self-serving reality, which, in turn, may give rise to a more positive affective state.
References


Appendix

MAACL Form A
MAACL Form B